

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Parnell, Todd C.
Assignee: Trilogy Development Group, Inc.
Title: Hierarchical Interface To Attribute Based Database
Serial No.: 09/747,008 Filing Date: December 22, 2000
Examiner: Unassigned Group Art Unit: 2122
Docket No.: M-8696 US

San Jose, California
June 13, 2001

COMMISSIONER FOR PATENTS
Washington, D. C. 20231

**PETITION TO THE NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL) –
FILING DATE GRANTED**

Dear Sir:

The Applicant hereby petitions the Commissioner under 37 CFR 1.17(h) that Fig. 12 was in fact originally filed in the U.S. Patent And Trademark Office (USPTO) with the nonprovisional application papers filed on December 22, 2000.

As evidence of such deposit, a copy of the return receipt postcard and filing receipt, along with a copy of the Transmittal Letter and Figures 1-12 as filed are attached. Also enclosed is a copy of the Notice Of Incomplete Reply (NonProvisional) – Filing Date Granted.

A substitute Fig. 12 was inadvertently not provided in the “Response To Notice To File Corrected Application Papers – Filing Date Granted,” filed on April 11, 2001. A supplemental response to the “Notice To File Corrected Application Papers – Filing Date Granted” and a 2-month petition for an extension of time are being submitted to correct the incomplete reply.

LAW OFFICES OF
SKJERNEN MORRILL
MACPHERSON LLP
25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979

The Commissioner is hereby authorized to charge \$130.00 to Deposit Account No. 19-2386. Since we have confirmed that Fig. 12 was initially received by the USPTO, Applicant requests that the Commissioner refund the petition fee of \$130.00.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of December 22, 2000. Please telephone the undersigned at (512) 794-3600, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on June 13, 2001.

Rita M. Wisor

Attorney for Applicant(s)

6/13/01

Date of Signature

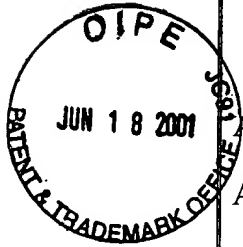
Respectfully submitted,

Rita M. Wisor

Rita M. Wisor
Attorney for Applicant(s)
Reg. No. 41,382

LAW OFFICES OF
SKJERVEN MORRILL
MACPHERSON LLP

25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Parnell, Todd C.
Assignee: Trilogy Development Group, Inc.
Title: Hierarchical Interface To Attribute Based Database
Serial No.: 09/747,008 Filing Date: December 22, 2000
Examiner: Unassigned Group Art Unit: 2122
Docket No.: M-8696 US

Austin, Texas
June 13, 2001

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SUPPLEMENTAL RESPONSE TO NOTICE TO FILE CORRECTED
APPLICATION PAPERS – FILING DATE GRANTED

Dear Sir:

In response to the “Notice to File Corrected Application Papers - Filing Date Granted” mailed by the United States Patent and Trademark Office on February 13, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. Ten (10) sheets of Substitute Drawings In Compliance With 37 CFR 1.84, consisting of Figs. 1, 2, 3, 4, 5, 6 & 7A, 7B, 8, 9 & 10 and 11 & 12;
2. Copy of Notice to File Corrected Application Papers - Filing Date Granted; and
3. Petition For Extension Of Time.

This Supplemental Response is intended to replace the “Response To Notice To File Corrected Application Papers – Filing Date Granted,” filed on April 11, 2001 in which Applicant inadvertently failed to provide a substitute drawing for Fig. 12.

LAW OFFICES OF
SKJERNEN MORRILL
MacPHERSON LLP

25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

1.	Petition For Two-Month Extension Of Time	\$390.00
TOTAL FEES:		\$ 390.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.


Please telephone the undersigned at (512) 794-3600, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on June 13, 2001.


Attorney for Applicant(s)

6/13/01
Date of Signature

Respectfully submitted,


Rita M. Wisor
Attorney for Applicant(s)
Reg. No. 41,382

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP

25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,008	12/22/2000	Todd C. Parnell	M-8696 US

CONFIRMATION NO. 2943

FORMALITIES LETTER



OC000000006118468

Rita M. Wisor
Attorney for Applicant
SKJERVEN MORRILL MacPHERSON LLP
25 Metro Drive, Suite 700
San Jose, CA 95110-1349

Date Mailed: 05/25/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain **EXTENSIONS OF TIME** under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent **ABANDONMENT** of the above-identified application.

- Figure(s) 12 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,008	12/22/2000	Todd C. Parnell	M-8696 US

CONFIRMATION NO. 2943

FORMALITIES LETTER



OC000000005761402

Rita M. Wisor
Attorney for Applicant
SKJERVEN MORRILL MacPHERSON LLP
25 Metro Drive, Suite 700
San Jose, CA 95110-1349

Date Mailed: 02/13/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

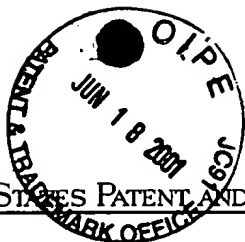
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/747,008	12/22/2000	2122	1604	M-8696 US	12	43	9

CONFIRMATION NO. 2943

FILING RECEIPT



OC000000005761401

Rita M. Wisor
Attorney for Applicant
SKJERVEN MORRILL MacPHERSON LLP
25 Metro Drive, Suite 700
San Jose, CA 95110-1349

Date Mailed: 02/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Todd C. Parnell, Austin, TX;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/13/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Hierarchical interface to attribute based database

Preliminary Class

706

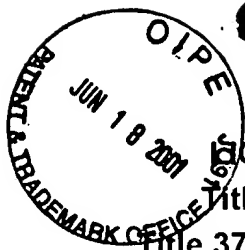
Data entry by : SARWARI, HOMA

Team : OIPE

Date: 02/13/2001

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



**BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

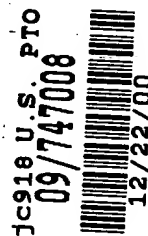
Inventors: Todd C. Parnell
Title: Hierarchical Interface to Attribute Based Database
Attorney Docket: M-8696 US

ENCLOSED:

- X This Return Receipt Postcard
- X Transmittal Letter (in duplicate)
- 25 page(s) Specification (not including Claims)
- 9 page(s) Claims
- 1 page(s) Abstract
- 12 sheet(s) of Drawings
- 2 page(s) Declaration For Patent Application and Power of Attorney
- 1 Recordation Form Cover Sheet (in duplicate)
- 1 Assignment
- 1 page(s) PTO Form 1449 citing 2 references
- Copy(ies) of two Cited References Submitted
- Other: Information Disclosure Statement
- 1 page(s) Non Publication Request

RMW/ddr

December 22, 2000 VIA EXPRESS MAIL NO.: EL708268619US



**RECEIVED
BY DOCKET DEPT.**

JAN 17 2001

SKJEDEN, MORRILL, MACDONALD, PERSON,
7 LINCOLN AND FREEDMAN



SKJERVEN
MORRILL
MACPHERSON LLP



Docket No.: M-8696 US

EL708268619US

December 22, 2000

Box Patent Application
Commissioner For Patents
Washington, D. C. 20231

Enclosed herewith for filing is a patent application, as follows:

Inventor(s): Todd C. Parnell

Title: Hierarchical Interface to Attribute Based Database

X Return Receipt Postcard
X This Transmittal Letter (in duplicate)
25 page(s) Specification (not including claims)
9 page(s) Claims
1 page Abstract
12 Sheet(s) of Drawings
2 page(s) Declaration For Patent Application and Power of Attorney
1 Recordation Form Cover Sheet (in duplicate)
1 Assignment
1 page(s) PTO Form 1449 citing 2 references
☒ Copy(ies) of two Cited References Submitted
☒ Other: Information Disclosure Statement
1 Page(s) Non-Publication Request

CLAIMS AS FILED

For	Number Filed		Number Extra		Rate		Basic Fee
Total Claims	43	-20 =	23	x	\$ 18.00	=	\$ 414.00
Independent Claims	9	-3 =	6	x	\$80	=	\$ 480.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application						\$
<input type="checkbox"/>	Fee for Request for Extension of Time						\$

Please make the following charges to Deposit Account 19-2386:

- ☒ Total fee for filing the patent application in the amount of \$ **1604.00**
☒ The Commissioner is hereby authorized to charge any additional fees which may be
required, or credit any overpayment to Deposit Account 19-2386.

EXPRESS MAIL LABEL NO:

EL708268619US

Respectfully submitted,

Rita M. Wisor

Rita M. Wisor
Attorney for Applicant(s)
Reg. No. 41,382